SHELBY COUNTY BOARD OF COMMISSIONERS
AGENDA ROUTE SHEET

Referred to Commission Committee General Government

For Commission Action on 4/28/08

DESCRIPTION OF ITEM: RESOLUTION APPROVING THE COUNTY MAYOR'S NOMINATION OF THE JOINT CITY-COUNTY APPOINTMENT OF CATHY SIMMONS TO THE MEMPHIS AND SHELBY COUNTY DOWNTOWN PARKING AUTHORITY. SPONSORED BY COMMISSIONER JOE FORD.

CHECK ALL THAT APPLY BELOW:

__x__ This Action does NOT require expenditure of funds.

_____ This Item requires/approves expenditure of funds as follows (complete all that apply):

County General Funds: $_____ ; County CIP Funds- $_____

State Grant Funds: $_____; State Gas Tax Funds: $_____

Federal Grant Funds: $_____

Other funds (Specify source and amount): $_____

Other pass-thru funds (Specify source and amount): $ _____

Originating Department: Mayor's Office

APPROVAL:

Dept. Head:	Grace Hutchinson (545-4429) (Type your name & phone #.)	\ GH (Initials)	\ 4-29-2008 (Date)
Elected Official:	_____ (Type your name & phone #.)	\ _____ (Initials)	\ _____ (Date)
Division Director:	Grace Hutchinson (545-4429) (Type your name & phone #.)	\ GH (Initials)	\ 4-29-2008 (Date)
CIP – A&F Director:	_____ (Type your name & phone #.)	\ _____ (Initials)	\ _____ (Date)
Finance Dept.	Mike Swift (545-4269) (Type your name & phone #.)	\ MAS (Initials)	\ 4/30/08 (Date)
County Attorney:	Christy Kinard (545-4233) (Type your name & phone #.)	\ CK (Initials)	\ 04-29-08 (Date)
CAO/Mayor:	Jim Huntzicker (545-4514) (Type your name & phone #.)	\ JH (Initials)	\ 4/30/08 (Date)

SUMMARY

I. Description of Item

This resolution appoints a joint City-County appointment—Cathy Simmons to the Memphis and Shelby Downtown Parking Authority.

II. Source and Amount of Funding

III. Contract Items

A. Type of Contract – N/A

B. Terms – N/A

IV. Additional Information Relevant to Approval of this Item

The Memphis and Shelby County Downtown Parking Authority members are appointed for terms of six (6) years each. This will be the first term for Cathy Simmons. Ms. Simmons is filling the unexpired term of David Harris who has resigned.

ITEM#_____ PREPARED BY: Lois Riseling

APPROVED BY: Christy L. Kinard CK
Assistant County Attorney

RESOLUTION APPROVING THE COUNTY MAYOR'S NOMINATION OF THE JOINT CITY-COUNTY APPOINTMENT OF CATHY SIMMONS TO THE MEMPHIS AND SHELBY COUNTY DOWNTOWN PARKING AUTHORITY. SPONSORED BY COMMISSIONER JOE FORD.

WHEREAS, Pursuant to the rules and regulations governing the members of the Memphis and Shelby County Downtown Parking Authority, in written correspondence to the Chairman of the Shelby County Board of Commissioners dated April 28, 2008, the County Mayor nominated the joint City-County appointment of Cathy Simmons to the board; and

WHEREAS, The ratification and approval by the Shelby County Board of Commissioners is required; and

WHEREAS, The members of the Memphis and Shelby County Downtown Parking Authority are appointed for a term of six (6) years upon approval by the Shelby County Board of Commissioners or until the appointment of their successors; and

WHEREAS, Cathy Simmons shall be appointed to fill the unexpired term of David Harris who has resigned, and said term will expire on December 31, 2009.

NOW, THEREFORE, BE IT RESOLVED BY THE BOARD OF COUNTY COMMISSIONERS OF SHELBY COUNTY, TENNESSEE That the joint City-County appointment of Cathy Simmons to the Memphis and Shelby County Downtown Parking Authority for the term listed above is with this Resolution hereby approved by the Shelby County Board of Commissioners.

BE IT FURTHER RESOLVED, That this Resolution shall take effect from and after the date it shall have been enacted according to due process of law, the public welfare requiring it.

_____
A C Wharton, Jr., County Mayor

Date: _____

ATTEST:

_____
Clerk, County Commission

ADOPTED: _____

1246 Island Place East
Memphis, TN 38103

Home 901.523.2121
Cell 901.292.9551
Work 901.758.9006
Fax 901.758.9007
E-mail cathys@legacywealth.com

Cathy Simmons

Summary

Investment and leadership professional with a strong background in private wealth management and regulatory compliance working in fast paced dynamic environments. Excellent communication and presentation skills with the ability to execute a variety of projects simultaneously.

Professional Experience

Legacy Wealth Management
Chief Compliance Officer
Certified Financial Planner™ August 1, 2000 to present

- Plan, execute and implement the firm's compliance processes and procedures.
- Various management responsibilities that include performance appraisals, job descriptions, work flow analysis, coaching, recruitment and training of new staff; mergers and acquisitions.

American Express Financial Advisors Inc.

Financial Advisor	August 1991 to July 31, 2000
▪ Various positions	
District Manager	1994 to 1999
Quality of Advice Leader	1994 to 1999
Client Service Model Leader	1995 to 1999
Client Assignment Leader	1996 to 1999
First Year Advisor Leader	1997 to 1999
Veteran Advisor Leader	1998 to 1999

Education

University of Memphis Memphis, TN

- MBA/Marketing 1988
- BS/Business Administration 1985

Licenses and Certificates

- CFP® Certification July 2000

Additional Training

- Advanced training in charitable and planned giving by Renaissance Inc. of Indianapolis, IN
- Media relations training by MBRK Inc. of Dallas, TX
- Advanced risk management training by American Express
- Seminar presentation training by American Express

Technology

- Microsoft Office (including Excel, Word, PowerPoint)
- Advent Axys
- Bloomberg

Professional Memberships

- Member of the Financial Planning Association
- Member Society of Financial Service Professionals
- Member Estate Planning Council
- Member Planned Giving Council

Civic Activities

- Member Nazrudin
- Vice President/President Elect Downtown Neighborhood Association
- President Island View Homeowners Association
- 2007 Graduate of the Leadership Memphis Executive Program
- Adjunct Faculty Christian Brothers University

Board/Commission

Division of County Government

Name: Cathy Simmons

Date of Birth 3/11/1952 Race Caucasian M ___ F X

Business Name: Legacy Wealth Management

Business Address: 6800 Poplar Ave Suite 101 Zip: 38138 Memphis Phone: 901-758-9006

E-mail cathys@legacywealth.com Fax: 901-758-9007

Education: University of Memphis 1988 MBA 1985 BS

Name of Spouse: NA Number of Children: 0

Home Address: 1246 Island Place East Memphis Zip: 38103 Phone: 901-523-2121

Resident of Shelby County 56 years.

List any affiliation or ownership interest you have in any business, corporation, or partnership, and if such business contracts with or sells products or services to Shelby County Government.

Legacy Wealth Management 7% ownership/business does not do business with SCG

List members of family, where employed, and whether or not their employer contracts with or sells products or services to Shelby County Government.

William L. Simmons-Brother-retired

Cathy Simmons
Signature

4/25/08
Date

(For Office Use Only)

Attendance Record (if applicable)

No. of Meetings_____ No. Attend_____ No. Absent_____

Disposition:

Approval_____ Resolution_____ Item#_____

4/2/2008

Board/Commission

NOTICE TO ALL PROSPECTIVE APPOINTEES TO SHELBY COUNTY BOARDS, AUTHORITIES OR COMMISSIONS

Pursuant to Resolution #26 adopted July 26, 1993, as amended, each person who submits his or her name for nomination to serve on a board, authority, or commission subject to approval by the Shelby County Board of Commissioners shall submit a list of all private county, social, athletic or professional clubs of which that person is a member. In the event it is determined that any such clubs are disciminatory in choosing their members on the basis of race, sex, or religion, the Shelby County Board of Commissioners may use this information in voting to reject the nominee.

Pursuant to the aforementioned Resolution you are hereby required to list all private country, social, athletic or professional clubs of which you belong.

1 Financial Planning Association
2 Society of Financial Service Professionals
3 Estate Planning Council
4 Planned Giving Council
5 Downtown Neighborhood Association
6
7
8

List below any of the aforementioned clubs of which you belong that do not have female members.

1
2
3
4

List below any of the aforementioned clubs of which you belong that do not have minority group members (e.g., African American, Hispanic, Asian).

1 _____
2 _____
3 _____
4 _____

Do any of the aforementioned clubs of which you belong discriminate in selecting members based on race, sex, or religion?

Circle Below:
Yes/No

Submitted: Cathy Simmons
(Print Name)

Signature: Cathy Simmons

Date: April 25, 2008

BIO 04/15/08

Cathy Simmons, MBA, CFP®
Chief Compliance Officer
Legacy Wealth Management

As Chief Compliance Officer, Cathy plans, executes and implements the firm's compliance processes and procedures and serves as Chair of the Compliance Committee. Cathy also serves as Proxy Officer for the firm.

In Addition, Cathy is in charge of one of the firm's three client services teams and is a member of Legacy Wealth Management's Board of Directors. She is also responsible for assisting new and existing clients with financial planning and investment management needs.

Cathy joined Legacy Wealth Management in 2000 after spending nine years as a financial advisor with American Express, Inc. At American Express, she worked with over 400 clients and consistently received awards for client satisfaction and quality advice. Cathy received her CFP® certification in 2000 and is a member of the Financial Planning Association, Estate Planning Council of Memphis, the Society of Financial Service Professionals, the National Committee on Planned Giving, and the Planned Giving Council of Greater Memphis. She is also a member of The Nazrudin Project, a national think-tank for financial professionals who meet semiannually to discuss the psychology of money. She is an adjunct faculty member at Christian Brothers University; teaching two of the six accelerated classes in the Executive Certificate in Financial Planning. Cathy is Vice President and President elect of the Downtown Neighborhood Association. She is the President of Island View Homeowners Association. Cathy is a 2007 graduate of the Leadership Memphis Executive Program Class. She received both her M.B.A. and B.A. in Business from the University of Memphis.