



# Shelby County Government

MARK H. LUTTRELL, JR.  
MAYOR

July 5, 2016

The Honorable Terry Roland, Chairman  
Shelby County Board of Commissioners  
160 N. Main, 6<sup>th</sup> Floor  
Memphis, TN 38103

Dear Chairman Roland:

Pursuant to the rules and regulations governing the Shelby County Retirement Board, I hereby nominate Frank Allen for appointment.

For your use, biographical information is attached and approval of the Board of Commissioners will be appreciated.

Sincerely,

Mark H. Luttrell, Jr.  
Mayor

MHL/th

Enclosure

**Profile**

<b>Mr.</b>	<b>Frank</b>	<b>S.</b>	<b>Allen</b>	<b>Jr.</b>
Prefix	First Name	Middle Initial	Last Name	Suffix

**Caucasian/Non-Hispanic**  
 Ethnicity

**Male**  
 Gender

**05/06/1952**  
 Date of Birth

**Susan Allen**  
 Name of Spouse

**fallen@shoemakerfinancial.com**  
 Email Address

**1936 Grovecrest Road**  
 Street Address

Suite or Apt

**Germantown**  
 City

**TN**  
 State

**38139**  
 Postal Code

**Mobile: (901) 237-1443**  
 Primary Phone

**Business: (901) 334-5966**  
 Alternate Phone

**Shoemaker Financial**  
 Employer

**2176 West St. #100**  
 Employer Address

**Germantown**  
 Employer City

**tn**  
 Employer State

**38138**  
 Employer Zip

**Education**

BBA (Accounting) from U of M in 1974

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**Which Boards would you like to apply for?**

Retirement Board

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64

How many years have you been a resident of Shelby County?

**Have you ever been convicted of a felony?**

Yes  No

**Do you give Shelby County Government authorization to request that the Shelby County Sheriff's Department perform a background check?**

Yes  No

**Do you also understand that other sources including the official state websites listing sexual predators and a check of outstanding balances owed to departments and offices of county government may be performed?**

Yes  No

**List any affiliation or ownership interest you have in any business, corporation or partnership and if such business contracts with or sells products or services to Shelby County Government.**

I am a financial advisor working at Shoemaker Financial. I have no outside business interests.

**List members of family, where employed, and whether or not their employer contracts with or sells products or services to Shelby County Government.**

Wife-Susan Cox Allen, stay at home mom and grandmom; she rents her former home in Germantown. We plan to sell it next year.

## Additional Comments

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Frank Allen

Signature

06/10/2016

Date

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## Resolution #26 Questionnaire

Pursuant to the aforementioned Resolution you are hereby required to list all private country, social, athletic or professional clubs of which you belong.

we do not belong to any private country club. I am a member of the TSCPA and FPA (Financial Planning Association). I am also on the Board of Kingdom Community Builders (KCB). This is a ministry that serves the Orange Mound community. I am a member of Harvest Church and serve there where needed. My wife is in leadership in Bible Study Fellowship.

List below any of the aforementioned clubs of which you belong that do not have female members.

no clubs.

List below any of the aforementioned clubs of which you belong that do not have minority group members (e.g. African American, Hispanic, Asian).

no clubs. The organizations on which I serve have representation from various races and include males and females.

Do any of the aforementioned clubs of which you belong discriminate in selecting members based on race, sex, or religion?

Yes  No

Frank Allen

Signature

06/10/2016

Date

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## Gratuity Questionnaire

06/10/2016

Date of Gratuity

### Nature and Purpose of the Gratuity

I am not aware of any gratuity, but I am not sure what you mean.

not aware of any gratuity

Name of the Official, Employee, Appointee or Family Member who received the gratuity

no gratuity.

Name of the person or entity that provided the gratuity

Address of the person or entity that provided the gratuity

Description of the gratuity

What is the definition of a gratuity? I do not make political contributions to any individual.

no gratuity

Cost of the Gratuity (If cost is unknown and not reasonably discernible by the person giving the gratuity, then the person giving the gratuity shall report a good faith estimate of the cost of the gratuity).

The information contained in this Gratuity Disclosure Form, and any supporting documentation or materials referenced herein or submitted herewith, is true and correct to the best of my knowledge, information and belief and I affirm that I have not given, directly or indirectly, any gratuity to any elected official, employee or appointee (including their spouse and immediate family members) that has not been disclosed and I affirm that I have not violated the provisions of the Shelby County Government Code of Ethics.

Yes  No

Frank Allen

Signature

06/10/2016

Date

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## Resume

Please upload a file

**Resume for  
Frank Sutton Allen, Jr.  
June 2016**

Work History:

2001 – Present: Financial Advisor, Shoemaker Financial in Germantown, TN

1984 – 2001: Various positions at FedEx in Memphis, TN

1974 – 1984: Various accounting positions at Catherine's Stout Shoppe

Designation: CPA (Inactive), CFP, CFS

Licenses: Series 7, Series 66, Life & Health, Variable, IAR

Associations: TSCPA, FPA

Board Member: FPA, Kingdom Community Builders

Education: White Station (1-12), University of Memphis (BBA in Accounting in 1974)

Family: Born and raised in Memphis; four siblings, all live in Memphis area. My dad was a General Surgeon in Memphis, married in 1975, two children who live in Waco, TX; after 32 years of marriage my wife passed away with brain cancer in 2007; remarried in 2010 to Susan Cox (4 children). Susan lost her husband to brain cancer as well; we now have 13 grandchildren.

We attend Harvest Church in Germantown

Contact Information: Work phone: 334-5966, cell: 237-1443,  
Email is [fallen@shoemakerfinancial.com](mailto:fallen@shoemakerfinancial.com)  
Address: 1936 Grovecrest, Germantown 38139

Hobbies: Watching and playing sports, volunteer work, travelling, spending time with kids and grandkids.